KFS Effort Certifications
Instructions

The annual Effort Certifications (ECs) are now available in KFS. The Principal Investigator (PI) / KFS Account Supervisor should receive an Action List Reminder email for the ECs for each UH employee receiving salaries and wages from their federal extramural accounts. For employees paid on multiple federal extramural accounts, the EC will require an approval from each of the PIs on the individual accounts. After the PI’s approval, the EC will route to all the Fiscal Administrators (FAs) / KFS Fiscal Officers on the applicable federal extramural accounts. Please note that adjustments can now be made directly on the EC. See below for specific instructions on processing adjustments and for more detailed information, please refer to the Effort Reporting - Training Manual under the Training tab at http://www.fmo.hawaii.edu/financial_systems/index.html.

PI Instructions
For the KFS EC eDocs, the PI must review and approve the reasonableness of salaries charged for services rendered during FY2016, to each federal extramural account. Per AP 8.948 - Effort Reporting, variances of more than 5% over a long-term period (> two months) warrant an adjustment. If adjustments are not required, click on approve. If adjustments are required (i.e. salary amounts are not deemed reasonable), please perform the following:

1. Enter the revised salary amount in the Salary field and click on recalculate.
2. If account to be charged is not listed, add account information in the "Add New Detail Line" section by completing the Chart, Account Number and Salary fields and clicking on add. If Error message indicates that account has expired, please verify that it is the correct account and click on the Expired Override box. (Note: The grand total effort percentage must equal 100% so salary amounts may need to be adjusted due to rounding issues.) Enter a brief explanation for the revision in the Note Text field under the "Notes and Attachments tab" and click on add.
3. Approve the EC with the revised amounts.

FA Instructions
The FA should ensure that documentation on file (i.e. PNF) agrees with the EC or information provided by the PI. If supporting documentation agrees, click on approve.

If PI has made adjustments perform the following:

1. Open the EC and click on approve. The following message will appear: "The related Effort Certification Salary Transfer (ECST) document does not exist. Do you want to create it?" Click yes. (The ECST functions like a regular Salary Transfer (ST), but is automatically generated when changes are made to the EC.)
2. The eDoc# for the related ECST generated from the changes to the EC will be shown just above the ‘Notes and Attachments’ tab. Click on the ECST eDoc# from the EC and update amounts to reflect changes made to the EC. Save the ECST and return back to the EC.

3. If no further changes to the EC, click on approve.

4. UH ORS Compliance group will be the final approver on the EC if any changes are made.

5. If ECSTs are generated, FAs reflected on the accounts on the ECST will receive the ECST in their action list and must 'Acknowledge' in order to finalize the ECST.

Timeline
The ECs must be reviewed and approved by all individuals shown on the pending and future action requests by September 30, 2016. ORS Compliance will be monitoring the completion and sending out periodic reminders in order to ensure that all federal accounts have been certified in accordance with federal regulations and UH policies and procedures. Outstanding ECs may be escalated to the attention of the appropriate Dean / Director or may result in the suspension of account activity. Please contact ORS Compliance (compliance@ors.hawaii.edu) for any questions or issues that arise.